

JC13 Rec'd PCT/PTO 29 JAN 2002

FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 306.41102X00 filed January 29, 2002
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
			10/048168
INTERNATIONAL APPLICATION NO PCT/EP00/06607	INTERNATIONAL FILING DATE July 12, 2000	PRIORITY DATE CLAIMED July 30, 1999	
TITLE OF INVENTION ENTIRELY COMBUSTIBLE INDUCTIVE PRIMER			
APPLICANT(S) FOR DO/EO/US KERN, HEINZ			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is transmitted hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office(RO/US)</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: <b>Figs. 1-4, Credit Card Payment Form, PCT Request Form, International Preliminary Examination Report, International Publication No. WO 01/09563</b></p>			

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/048168</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP00/06607</b>		ATTORNEY'S DOCKET NUMBER <b>306.41102X00</b>																																																																
<b>21. The following fees are submitted:</b> <b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1) - (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. ....\$1040.00  <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO... ..\$890.00  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. ....\$740.00  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)... ..\$710.00  <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>  Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <table border="1" style="width:100%"><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th><th>\$</th></tr></thead><tbody><tr><td>Total Claims</td><td>4 - 20 =</td><td>0</td><td>x \$18.00</td><td>\$</td></tr><tr><td>Independent Claims</td><td>1 - 3 =</td><td>0</td><td>x \$84.00</td><td>\$</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td><td>+ \$280.00</td><td>\$</td></tr><tr><td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td><td>\$890.00</td></tr><tr><td colspan="4"><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</td><td>\$</td></tr><tr><td colspan="4"><b>SUBTOTAL =</b></td><td>\$890.00</td></tr><tr><td colspan="4">Processing fee of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td>\$</td></tr><tr><td colspan="4"><b>TOTAL NATIONAL FEE =</b></td><td>\$890.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td>\$</td></tr><tr><td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td><td>\$890.00</td></tr><tr><td colspan="4" rowspan="2"></td><td>Amount to be refunded:</td><td>\$</td></tr><tr><td>charged:</td><td>\$</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	Total Claims	4 - 20 =	0	x \$18.00	\$	Independent Claims	1 - 3 =	0	x \$84.00	\$	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280.00	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$890.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	<b>SUBTOTAL =</b>				\$890.00	Processing fee of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	<b>TOTAL NATIONAL FEE =</b>				\$890.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	<b>TOTAL FEES ENCLOSED =</b>				\$890.00					Amount to be refunded:	\$	charged:	\$	<b>CALCULATIONS PTO USE ONLY</b>	
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a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the fees is enclosed.

b. ☐ Please charge my Deposit Account No 01-2135 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 01-2135. A duplicate copy of this sheet is enclosed

d. ☒ Fees are to be charged to a credit card **WARNING:** Information on this form may become public **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Antonelli, Terry, Stout & Kraus, LLP  
1300 North Seventeenth Street  
Suite 1800  
Arlington, VA 22209  
USA

  
SIGNATURE  
Alan E. Schiavelli  
NAME  
32,087  
REGISTRATION NO.

10048168 10/048168  
JC13 Rec'd PCT/PTO 29 JAN 2002

306.41102X00

#4/a

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: KERN

Serial No.:

Filed: January 29, 2002

For: Entirely Combustible Inductive Primer

Group:

Examiner:

**PRELIMINARY AMENDMENT**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

January 29, 2002

Sir:

Prior to examination on the merits of this application and prior to calculation of the filing fee, please amend the above-identified application as follows:

**IN THE CLAIMS:**

Please amend the claims to read as follows:

3. (Amended) Primer according to claim 1, characterised in that a three-dimensional cylindrical coil is produced by laying the conductor ends, the ends being in one plane, one on top of the other and making a contact between them, with the remaining ends of the printed circuit traces forming the connection surfaces of the ignition bridge (4).
4. (Amended) Primer according to claim 1, characterised in that the electrical printed circuit traces (1) are preferably applied to the support material (5) using a screen-printing process and consist of silver or copper conductive paste.

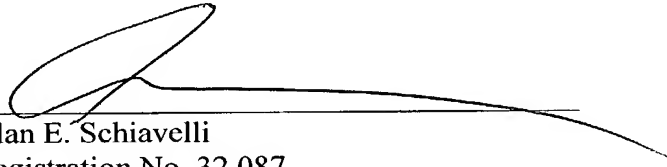
REMARKS

The foregoing amendments are respectfully requested prior to examination on the merits of this application. A marked up copy of the amended claims is attached.

To the extent necessary, applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (Case: 306.41102X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP



Alan E. Schiavelli  
Registration No. 32,087

AES/jla  
(703) 312-6600

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3. (Amended) Primer according to claim 1 ~~or 2~~, characterised in that a three-dimensional cylindrical coil is produced by laying the conductor ends, the ends being in one plane, one on top of the other and making a contact between them, with the remaining ends of the printed circuit traces forming the connection surfaces of the ignition bridge (4).
4. (Amended) Primer according to ~~one of claims 1 to 3~~ claim 1, characterised in that the electrical printed circuit traces (1) are preferably applied to the support material (5) using a screen-printing process and consist of silver or copper conductive paste.

2/pvls

# Entirely Combustible Inductive Primer

The invention relates to a pyrotechnic primer for igniting propellant powder for sleeveless ammunition, the primer having an ignition element and a coil, in which the energy required for triggering is transferred by electro-magnetic means (inductively).

The intensified requirement for the use of sleeveless ammunition in recent years has led increasingly to attempts at solutions comprising ignition systems which operate on the principle of transferring energy to the combustion chamber of a weapon without contact. Solutions demonstrating the principle of inductive ignition have been described in the past and their feasibility has been proved on various weapons systems.

The principal problem with previous design specifications for inductive primers has been with the non-combustible components of the receiving coil and the electrical ignition elements. This is particularly the case with relatively small calibre diameters because here unburned remains of the primer can form residues either in the cartridge chamber or in the barrel which will damage the weapon.

The object of the invention is therefore to construct a fully combustible, inductive primer with a design which is simple and economical to manufacture, which does not produce any residues harmful to the functioning of the weapon and which is suitable for universal use with all current weapon calibres.

This problem is solved according to the invention in that the ignition element and coil are placed on a

common, flat, combustible or consumable support material.

According to the invention, the entire support material of the primer consists of combustible or consumable materials such as e.g. paper or nitro-cellulose.

In a preferred embodiment, ends of conductors (printed circuit trace ends), which are in one plane, are laid one on top of the other and as a result of their contacting, a three-dimensional cylindrical coil is formed and, moreover, the remaining printed circuit trace ends forming connection surfaces (contact points) of the ignition bridge.

It is practical to apply the electrical printed circuit traces to the support material using screen-printing, the said traces consisting of silver or copper conductive paste.

The inductive primers can be of a columnar or flat design, consisting of a combustible or consumable electrical ignition element and an induction coil with several windings and any desired external geometry, which is applied to or embedded in a flat single or multi-layer, combustible, insulating support material, it being possible, also, for the electrically conductive coil material to be designed to be combustible or consumable.

It is advantageous for the entire inductive primer to be applied in one plane as a single layer or several layers onto a flexible, combustible paper or nitro-cellulose film or another combustible support layer, the entire electrical routing of the conductors or the printed circuit traces consisting, for example, of hardened

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incandescent ignition wire can make the contact with the connection surfaces 3, for example, using adhesive or bonding. The geometry, conductor cross-section and number of windings can vary within a broad framework.

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Figure 2 shows the fabrication process for achieving a cylindrical coil by laying the coil ends together and then making contact between the coil ends 6 via the through-contacting points 7, preferably using electrically conductive adhesive. In addition, the ends of the paper lying one on top of the other can be fixed with NC-adhesive.

Figure 3 shows the primer ready for installation. Visible are the through-contacting points 7, through whose central hole the coil ends make contact. The support material around the contact surfaces 3 can be fixed in a suitable position in the ignition chain because of its flexibility and connected to a suitable combustible container to hold the ignition material e.g. using adhesive.

Figure 4 shows an example of the fabrication of a flat receiving coil on combustible support material 1 and printed circuit traces made of printed conductive paste with, for example, ten windings. The coil windings 2, the through-contact points 3, the printed circuit traces on the back 4 of the support material and the connection point of the incandescent ignition wire 5 can be seen. The geometry, conductor cross-section and number of windings can vary within a broad framework.

**Description of Functioning**

When a pulse current impinges on a primary coil on the weapon side is struck by a pulse of current, an  
5 alternating magnetic field is generated and a voltage is induced in the coil of the inductive ignition element, which drives a current because of the electrical resistance of the incandescent wire, which, as a result of conversion into Joulean heat, causes ignition of the  
10 detonator unit and thus ignites the propellant powder. All the components of the primer are burned or consumed during this process.

## Patent Claims

1. Pyrotechnic primer for igniting propellant powder for sleeveless ammunition, the primer having an  
5 ignition element (4) and a coil (1) in which the energy required for triggering is transferred by electromagnetic means (inductively), characterised in that the ignition element (4) and the coil (1) are situated on a common, flat, combustible or  
10 consumable support material (5).
2. Primer according to claim 1, characterised in that the entire support material (5) of the primer consists of combustible or consumable materials,  
15 such as e.g. paper or nitro-cellulose.
3. Primer according to claim 1 or 2, characterised in that a three-dimensional cylindrical coil is produced by laying the conductor ends, the ends  
20 being in one plane, one on top of the other and making a contact between them, with the remaining ends of the printed circuit traces forming the connection surfaces of the ignition bridge (4).
- 25 4. Primer according to one of claims 1 to 3, characterised in that the electrical printed circuit traces (1) are preferably applied to the support material (5) using a screen-printing process and consist of silver or copper conductive  
30 paste.

## ABSTRACT

The invention relates to a pyrotechnical primer for igniting propellant powder for sleeveless ammunition. The primer comprises an igniting element (4) and a coil (1). The energy required for triggering is transmitted in an electromagnetic manner (inductively).

The aim of the invention is to produce a cost-effective, entirely combustible, inductive primer which is easy to produce and is not provided with residues that damage the functioning of the weapons. The aim of the invention is also to provide said primer with such a base construction that the primer can be used in all conventional weapons calibres. To this end, the ignition element (4) and the coil (1) are located on a mutual, flat, combustible consumable or support material. (5).

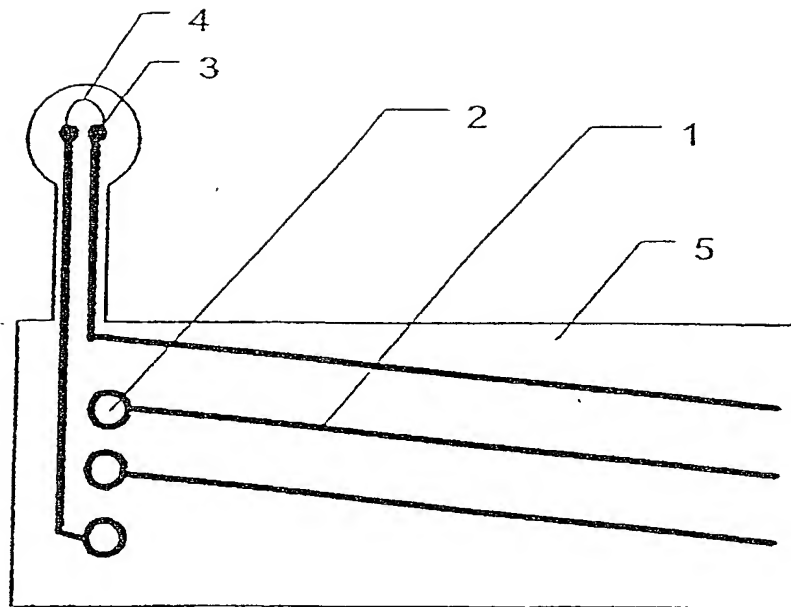


Fig.1

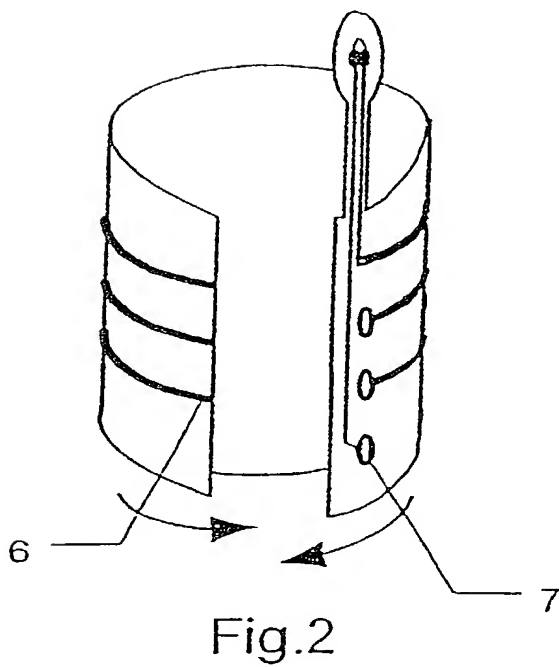


Fig.2

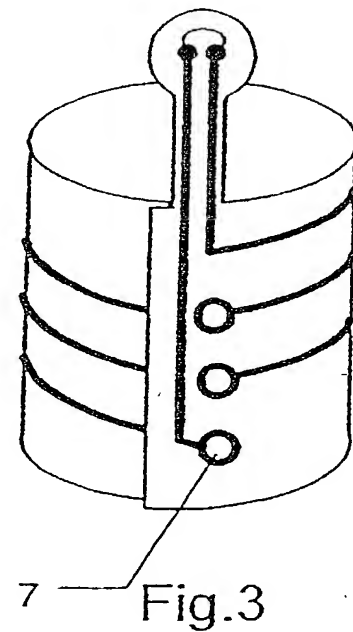


Fig.3

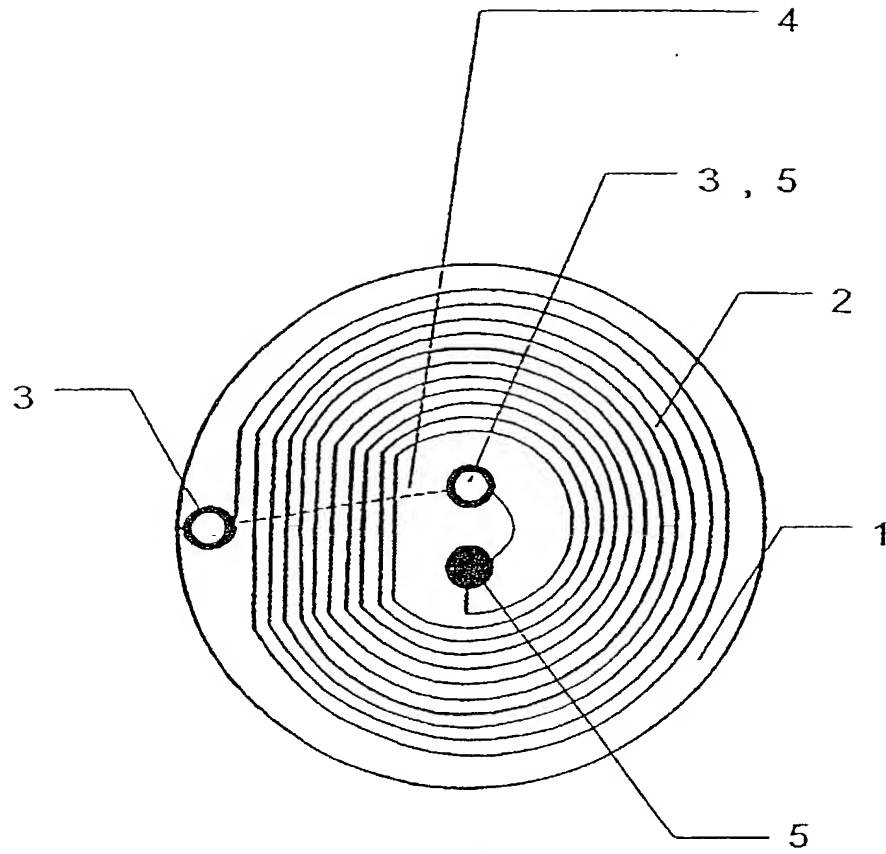


Fig.4

Attorney's Docket No.: 306.41102X00

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that: my residence, post office address and country of citizenship are as stated below, next to my name; I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
**ENTIRELY COMBUSTIBLE INDUCTIVE PRIMER**

the specification of which

       is attached hereto.

  X   was filed on January 29, 2002 as

United States Application Number 10/048,168

or PCT International Application Number PCT/EP00/06607

and was amended on \_\_\_\_\_.

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)****Priority  
Claimed**

<u>199 36 095.2</u> (Number)	<u>Germany</u> (Country)	<u>30/July/1999</u> (Day/Month/Year Filed)	<u>Yes</u>	<u>No</u>
<u>199 56 635.6</u> (Number)	<u>Germany</u> (Country)	<u>25/November/1999</u> (Day/Month/Year Filed)	<u>Yes</u>	<u>No</u>

I hereby claim the benefit under title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

<u>                    </u> (Application Number)	<u>                    </u> Filing Date
<u>                    </u> (Application Number)	<u>                    </u> Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number)

Filing Date

(Status -- patented,  
pending, abandoned)

(Application Number)

Filing Date

(Status -- patented,  
pending, abandoned)

(11)

I hereby appoint: Donald R. Antonelli, Reg. No. 20,296; Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg. No. 28,565; Gregory E. Montone, Reg. No. 28,141; Ronald J. Shore, Reg. No. 28,577; Donald E. Stout, Reg. No. 26,422; Alan E. Schiavelli, Reg. No. 32,087; James N. Dresser, Reg. No. 22,973; Carl I. Brundidge, Reg. No. 29,621; Paul J. Skwierawski, Reg. No. 32,173; and Robert M. Bauer, Reg. No. 34,487, my attorneys; of ANTONELLI, TERRY, STOUT & KRAUS, LLP with offices located at 1300 North Seventeenth Street, Suite 1800, Arlington, Virginia 22209, telephone: (703) 312-6600, fax: (703) 312-6666; with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

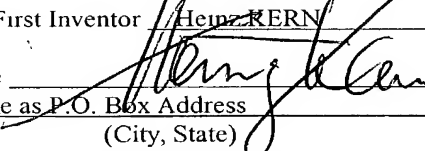
Send all correspondence to:

Customer Number 020457  
ANTONELLI, TERRY, STOUT & KRAUS, LLP  
1300 North Seventeenth Street  
Suite 1800  
Arlington, VA. 22209

Direct all telephone calls and faxes to:

TEL: (703) 312-6600  
FAX: (703) 312-6666

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Full Name of Sole/First Inventor Heinz KERN  
Inventor's Signature  Date 14.05.02  
Residence Same as P.O. Box Address Citizenship \_\_\_\_\_  
(City, State) \_\_\_\_\_ (Country) \_\_\_\_\_  
Post Office Address Irisweg 29, D-90768, Ffirth, Germany DEU

Full Name of Second/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) \_\_\_\_\_ (Country) \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Full Name of Third/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_



Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Fourth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Fifth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Sixth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Seventh/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Eighth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

Full Name of Ninth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_ (City, State) \_\_\_\_\_ (Country) \_\_\_\_\_

100447163 .02.1002

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Tenth/Joint Inventor

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine.

(1) Prior art cited in search reports of a foreign patent office in a counterpart application, and

(2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.